



PATENT  
ATTORNEY DOCKET NO. DIVER1380-1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Jay M. Short                          Art Unit: 1636  
Serial No.: 09/529,458                          Examiner: Not Assigned  
Filed: April 13, 2000  
Title: SCREENING FOR NOVEL COMPOUNDS WHICH REGULATE  
BIOLOGICAL INTERACTIONS

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Sir:

This Communication is submitted to request correction of the filing receipt in reference to the above-identified application. The data requiring correction is indicated in yellow highlight on the attached copy of the filing receipt and herein in **BOLD**.

The filing date needs to be corrected from May 23, 2000 to **April 13, 2000**. A copy of the following documents show the correct filing date to be April 13, 2000:

1. Express Mail receipt number EM476992085US; and
2. The Declaration of Ruth I. Sabula, who delivered the application to the U.S. Post Office on April 13, 2000.

Applicants accordingly request the correction be made of record and a corrected filing receipt be issued.

CERTIFICATION UNDER 37 CFR § 1.8

I hereby certify that the documents referred to as enclosed herein are being filed under the provisions of 37 CFR § 1.8(d).

Jennifer H. Payne

Name of Person Making Payment

*Jennifer H. Payne*      *J. Payne*

In re Application: Jay M. Sh

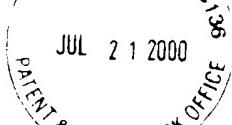
PATENT

Application No.: 09/529,458

Attorney Docket No. DIVER1380-1

Filed: April 11, 2000

Page 2



No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355.

Respectfully submitted,



Date: July 18, 2000

Lisa A. Haile, Ph.D.  
Registration No. 38,347  
Attorney for Applicant

GRAY CARY WARE & FREIDENRICH LLP  
4365 Executive Drive, Suite 1600  
San Diego, California 92121-2189  
Telephone: (858) 677-1456  
Facsimile: (858) 677-1465

Gray Cary/GT6192939.1  
104703-160131



## FILING RECEIPT

\*OC00000005224026\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

10703-100131

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/529,458	05/23/2000	1636	735	DIVER1380-1	6	15	1

LISA A HAILE  
GARY CARY WARE & FREIDENRICH  
4365 EXECUTIVE DRIVE  
SUITE 1600  
SAN DIEGO, CA 92121

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JUL 13 2000

GRAY CARY GT/PATENT

Date Mailed: 07/10/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

JAY M. SHORT, RANCHO SANTA FE, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/US98/21895 10/15/1998  
WHICH CLAIMS BENEFIT OF 60/062,073 10/15/1997

## Foreign Applications

If Required, Foreign Filing License Granted 07/06/2000

-

## Title

SCREENING FOR NOVEL COMPOUNDS WHICH REGULATE BIOLOGICAL INTERACTIONS

## Preliminary Class

435

EXAMINER





**POST OFFICE  
TO ADDRESSEE**



**EL476992085US**

**SEE REVERSE SIDE FOR  
SERVICE GUARANTEE AND LIMITS  
ON INSURANCE COVERAGE**

ORIGIN (POSTAL USE ONLY)		
PG ZIP Code <b>17192</b>	Day of Delivery Next <input checked="" type="checkbox"/> Second <input type="checkbox"/>	Flat Rate Envelope
Date In <b>12/10</b>	12 Noon <input checked="" type="checkbox"/> PM <input type="checkbox"/>	Postage <b>\$ 1.75</b>
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lbs. ozs.		Insurance Fee
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FROM: (PLEASE PRINT) <b>GRAY CARY WARE &amp; FREIDENRICH</b> <b>4365 EXECUTIVE DR STE 1600</b> <b>SAN DIEGO CA 92121-2101</b>	PHONE <b>619 677 1400</b>
<input type="checkbox"/> WAIVER OF SIGNATURE (Domestic Only) Additional merchandise insurance is void if waiver of signature is requested. <input type="checkbox"/> I wish delivery to be made without obtaining signature of addressee or addressee's agent. If delivery employee judges that article can be left in secure location, I authorize that delivery employee's signature constitutes valid proof of delivery. <b>NO DELIVERY</b> <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday <span style="float: right;">Customer Signature</span>	
<b>TO: (PLEASE PRINT)</b> <b>ASSISTANT COMMISSIONER</b> <b>FOR PATENTS</b> <b>WASHINGTON DC 20231-4494</b> <b>BOX PCT (DO/EO/US)</b>	
<b>PRESS HARD.</b> <i>You are making 3 copies.</i> <b>FOR PICKUP OR TRACKING CALL 1-800-222-1811</b> <b>www.usps.gov</b>	

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Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

**TRANSMITTAL SHEET**

Sir:

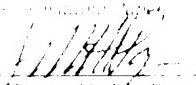
Transmitted herewith for the above-identified application please find:

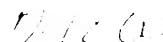
1. A Request for Correction of Filing Receipt;
2. A copy of the Filing Receipt;
3. A copy of the Express Mail receipt;
4. A copy of the Declaration of Ruth I. Sabula; and
5. A return postcard.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service in an envelope addressed to the United States Patent and Trademark Office, Washington, D.C., and is being transmitted by facsimile to the Office.

Jennifer H. Payne

  
Signature of Person Making Deposit



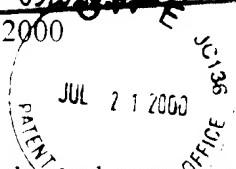
In re Application: Jay M. Sh  
Application No.: 09/520,458

Filed: April 13, 2000

Page 2

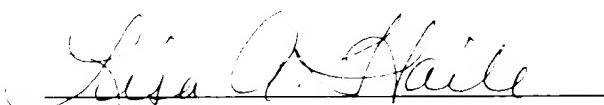
PATENT

Attorney Docket No. DIVER1380-1



No fee is ~~deemed~~ necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355. A copy of this Transmittal Sheet is enclosed.

Respectfully submitted,

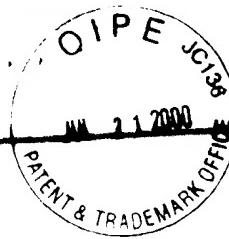


A handwritten signature of Lisa A. Haile.

Lisa A. Haile, Ph.D.  
Registration No. 38,347  
Attorney for Applicant

GRAY CARY WARE & FREIDENRICH LLP  
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BIOLOGICAL INTERACTIONS

Box PCT

Assistant Commissioner for Patents  
Washington, D.C. 20231

**DECLARATION OF MAILING BY "EXPRESS MAIL"**

Ruth I. Sabula declares as follows:

1. I reside at 2889 Sanford Lane, Carlsbad, CA 92008 and am an employee of Gray Cary Ware & Freidenrich L.L.P., 4365 Executive Drive, Suite 1600, San Diego, CA 92121-2189.

2. On April 13, 2000, I deposited in the mail, "Express Mail Post Office to Addressee" service of the United States Postal Service the contents of the envelope for which "Express Mail" receipt No. EL476992085US was issued addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231.

3. Attached hereto is a true copy of the "Express Mail" receipt no. EL476992085US.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the above-identified application or any patents issued thereon.

Date: 4/13/00

*Ruth I. Sabula*